

# Adviser Service Fee Alteration and Consent Form

OneAnswer

OptiMix

1 December 2023

## OnePath Custodians Pty Limited (OnePath Custodians)

ABN 12 008 508 496 AFSL 238346 RSE L0000673

## OnePath Funds Management Limited (OnePath Funds Management)

ABN 21 003 002 800 AFSL 238342

## Retirement Portfolio Service (Fund)

ABN 61 808 189 263 RSE R1000986

347 Kent Street, Sydney NSW 2000

## Customer Services

Phone 133 665

Email [client@onepathsuperinvest.com.au](mailto:client@onepathsuperinvest.com.au)

Website [onepathsuperinvest.com.au](http://onepathsuperinvest.com.au)

**This form is for existing members in OneAnswer – Entry Fee, Nil Entry Fee and //Select and OneAnswer Frontier and all OptiMix products.**

### Instructions

- This form should be completed if you have agreed with your financial adviser to amend their Adviser Service Fee arrangements.
- If you have an existing Ongoing Adviser Service fee or Fixed Term Arrangement Adviser Service Fee, you must complete this form to consent that it continue to be charged. Your consent (at section 3), must be provided every 12 months using this form.
- Complete section 3 and 4 if you have agreed with your financial adviser to apply a new Adviser Service Fee or amend an existing Ongoing Adviser Service fee or Fixed Term Arrangement Adviser Service Fee.
- Section 5 should be completed by your financial adviser if alterations to product remuneration arrangements are required (such as if you wish to cancel any existing Ongoing Adviser Service Fees or Fixed Term Arrangements).
- Please complete this form and forward to us either by email or post.
  - Email\* a scanned copy to [client@onepathsuperinvest.com.au](mailto:client@onepathsuperinvest.com.au)
  - Post to:
 

OneAnswer  
OnePath  
GPO Box 5306  
Sydney NSW 2001

\* Emails can only be accepted from the email address currently held on our records.

1. Member/Investor number

2. Personal details

Title	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms	<input type="checkbox"/> Miss	<input type="checkbox"/> Dr	Other <input type="text"/>
Surname	<input type="text"/>					
Given name(s) (including middle name)	<input type="text"/>					
Date of birth (dd/mm/yyyy)	<input type="text"/>	<input type="text"/>	<input type="text"/>	Male <input type="checkbox"/>	Female <input type="checkbox"/>	
Address	<input type="text"/>					
Suburb/Town	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	
Contact Phone	<input type="text"/>					

### 3. Adviser Service Fee (ASF)

- The following ASF options are available – ongoing ASF, fixed term arrangement ASF and one-off ASF. Please note that we do not allow any client to have both a fixed term arrangement ASF and ongoing ASF at the same time.
- Please see rules in Section 5 below about how any new Ongoing ASF or Fixed Term Arrangement ASF will interact with any existing fee arrangements.
- ASFs are optional and agreed between you and your financial adviser. The amounts nominated will be deducted from your account and are inclusive of any applicable GST. These are paid by OnePath Custodians/OnePath Funds Management under a separate contractual agreement OnePath Custodians/OnePath Funds Management has with your financial adviser's licensee.
- We may, acting reasonably, decline to deduct any of these fees.
- You can terminate this ASF at any time by notifying us in writing. If you notify us that you no longer wish to use the services of a financial adviser in relation to your investment, the ASF will be terminated.
- Please refer to the Product Disclosure Statement (PDS) and any subsequent updates applicable to your OneAnswer investment for further information.

If you have agreed to pay your financial adviser an Adviser Service Fee (ASF) from your account balance (by redeeming units) please provide the details below.

#### Ongoing ASF

Deduct Ongoing ASF ,  %\* per annum or \$ ,  per annum

Please note for Term Allocated Pension accounts you can only nominate a percentage (%) amount.

To have the ongoing ASF deducted from a single fund, write the fund name here.†

Choose when to have the ASF deducted (choose one). If no frequency is nominated, it will be deducted monthly.

Monthly ☐ Quarterly† ☐ Annually† ☐

Consent end date (required)  /  /

If a percentage ongoing fee is selected:

Your adviser has estimated a fee of \$ ,  for the 12 months commencing  /  /

This fee will be paid on the above selected frequency based on your account balance applied against the percentage entered above.

The consent end date is the date when all ongoing advice fees will end if we have not received your consent to continue.

Note: The consent end date cannot be more than 12 months plus 150 days after the date of the below member consent.

#### Fixed Term Arrangement ASF

Deduct Fixed Term Arrangement ASF ,  %\* per annum or \$ ,  per annum

Please note for Term Allocated Pension account you can only nominate a percentage (%) amount.

To have the fixed term arrangement ASF deducted from a single fund, write the fund name here.†

Start date (required)  /  /

End date (required)  /  /

#### Important:

- Start and End Dates for the ASF must be provided above for this form to be valid.
- You must have signed within 90 days of the start date and the date we process this request.
- The end date cannot be more than 12 months after the fixed term arrangement start date.

If a percentage fixed term arrangement fee is selected:

Your adviser has estimated a fee of \$ ,  for the term of the fixed term arrangement.

This fee will be paid on a monthly frequency based on your account balance applied against the percentage entered above.

The end date is the date when all fixed term arrangement fees will end if we have not received your consent to continue.

Please select the services being provided:

☐ Review of your account

☐ Contribution strategy

☐ Strategic superannuation advice

☐ Insurance in superannuation strategy

☐ Investment advice on your account

☐ Withdrawal advice

### One-off ASF (OneAnswer only)

Deduct One-off ASF ,  %\* or \$ ,

Please note for Term Allocated Pension accounts you can only nominate a percentage (%) amount.

To have the one-off ASF deducted from a single fund, write the fund name here.†

Please select the services being provided:

- |  |   |
|--|---|
| <input type="checkbox"/> Review of your account            | <input type="checkbox"/> Contribution strategy                |
| <input type="checkbox"/> Strategic superannuation advice   | <input type="checkbox"/> Insurance in superannuation strategy |
| <input type="checkbox"/> Investment advice on your account | <input type="checkbox"/> Withdrawal advice                    |

If a percentage one-off fee is selected:

Your adviser has estimated a fee of \$ ,  based on your account balance applied against the percentage entered above.

\* You cannot nominate a percentage based ASF if the balance is funded from borrowed money.

† ASF cannot be deducted from ANZ Term Deposits or suspended funds. If no fund is nominated the ASF will be deducted proportionately across all funds except ANZ Term Deposits and suspended funds.

‡ The first updated Ongoing or Fixed Term Arrangement ASF will be deducted either in the following month (if monthly frequency), in three months (if quarterly, Ongoing ASF only) or in 12 months (if annually, Ongoing ASF only) following the commencement of the new arrangement.

### Adviser Service Fee declaration (to be completed by member/investor only)

Please read this important information about adviser remuneration before submitting this form.

By signing and submitting this form, you instruct and authorise OnePath Custodians / OnePath Funds Management to deduct the agreed ASF from your account balance and to pay this to your adviser (nominated in Section 6).

In giving this instruction, you should note that:

- The fees to be deducted from your account may only relate to advice services provided by your adviser in respect of your interest in the Fund;
- OnePath Custodians / OnePath Funds Management is required to obtain your specific written consent before a fee for financial advice can be deducted from your account. However, you are not under any obligation to consent to the fee being deducted;
- your ASF is negotiable with your financial adviser and can be cancelled at any time;
- the ASF will be deducted by redeeming units from your investment;
- where the ASF is payable as a percentage, it will be calculated on your total account balance. However, in this case no part of your account balance may be funded from borrowed money;
- if you choose to pay an Ongoing ASF, your consent to pay the Ongoing ASF to your adviser will cease on the consent end date (nominated in this form) unless you withdraw your consent or vary the Ongoing ASF arrangement at any time by notice in writing to your adviser or by contacting Customer Services;
- if you choose a Fixed Term Arrangement ASF, your consent will last until the end date nominated in the Fixed Term Arrangement ASF section above but can be revoked at any time; and
- if you choose a one-off ASF, your consent can be revoked before the one-off fee is paid.

### Adviser Service Fee declaration (to be completed by financial advisers only)

To be completed by financial advisers where an ASF is updated or a new ASF is commenced.

By signing below, I confirm and declare that:

- where an ASF is nominated it is solely for advice in relation to the member's interest in the Fund
- where an ASF is nominated it is not part of an early release scheme
- where an ASF is nominated it is reasonable and is commensurate with the type and scope of the advice being provided
- I have specifically drawn the applicant's attention to any ASFs nominated above and I have explained the implications and the effect of these fees for them
- confirm the fixed term arrangement ASF will start on the start date nominated in Section 3. The end date cannot be more than 12 months after the fixed term arrangement ASF start date.

### Signature of financial adviser

X

Date (dd/mm/yyyy)

/  /

## 4. Important information for members/investors and signature

### Read the PDS and additional information

Before submitting this request, you should read the relevant PDS. You should contact us or speak to your adviser if you do not understand something.

There is additional information about this product, and the Adviser Services Fee (ASF) and your adviser, in the Fees Guide, Investment Funds Guide, Buy-Sell Spread Guide, OneAnswer Hedge Funds Guide and Additional Information Guide which you should read as it may assist you in making an investment decision.

## Privacy

OnePath Custodians / OnePath Funds Management collects the information in this form in order to operate your account. Your personal information (including where authorised and required, your sensitive information) will be handled in accordance with the privacy policy, which is available at [onepathsuperinvest.com.au/about-us/privacy-policy](http://onepathsuperinvest.com.au/about-us/privacy-policy). The privacy policy contains information about how you may access or correct your personal information held by OnePath Custodians / OnePath Funds Management and how you may make a privacy-related complaint.

If you have provided information about another person in this application, you should obtain the permission of that person to do so, inform the person concerned that you have done so and direct them to the Privacy Policy so they may understand the manner in which their information may be used and disclosed by OnePath Custodians / OnePath Funds Management.

## Marketing

OnePath Custodians / OnePath Funds Management and its related group companies may use your personal information to send you information about their products or services from time to time. OnePath Custodians / OnePath Funds Management may also disclose your personal information to organisations products or services from time to time. OnePath Custodians / OnePath Funds Management may also disclose your personal information to organisations who are in an alliance with OnePath Custodians / OnePath Funds Management to enable those alliance partners to send you information about their products and services. You can change your marketing preferences by telephoning us on 133 665.

## Information you must provide to us

We will rely on the information you give us to process your request. By signing and submitting this form, you represent that the information you have provided is true and correct. If any of the information you have provided changes, you should inform us as soon as possible.

I declare that I have read this form and declare that the information completed on this form is true and accurate.

**Signature of member/investor 1** (sign clearly within box)

X

Date (dd/mm/yyyy)  /  /

**Signature of member/investor 2** (if applicable) (sign clearly within box)

X

Date (dd/mm/yyyy)  /  /

## Financial adviser use only

### 5. ASF Cancellation

If you wish to cancel an existing ASF, please nominate below.

Cancel Fixed Term Arrangement ASF

☐ Cancel the Fixed Term Arrangement ASF on this account

Cancel Ongoing ASF

☐ Cancel the Ongoing ASF on this account

If you do not cancel an existing Ongoing ASF, your existing Ongoing ASF will continue until the earliest of:

- Start Date of new Fixed Term Arrangement
- Processing date of new Ongoing ASF
- Consent end date of the existing Ongoing ASF

If you do not cancel an existing Fixed Term Arrangement ASF, your existing Fixed Term Arrangement ASF will continue until the earliest of:

- Start Date of new Fixed Term Arrangement
- Processing date of new Ongoing ASF
- End date of the existing Fixed Term Arrangement ASF

### 6. Financial adviser details

Master sales account no.	<input type="text"/>	Sales account no. (if applicable)	<input type="text"/>
Seller code 2 (if applicable)	<input type="text"/>	Seller code 3 (if applicable)	<input type="text"/>
Company name	<input type="text"/>		
Name of financial adviser	<input type="text"/>		
Phone	Business <input type="text"/>	Mobile	<input type="text"/>
	Fax <input type="text"/>		
Email	<input type="text"/>		

Financial adviser stamp