

# Adviser Service Fee Alteration and Consent Form

OneAnswer / OptiMix

10 January 2025

**OnePath Custodians Pty Limited (OnePath Custodians)**

ABN 12 008 508 496 AFSL 238346 RSE L0000673

**OnePath Funds Management Limited (OnePath Funds Management)**

ABN 21 003 002 800 AFSL 238342

**Retirement Portfolio Service (Fund)**

ABN 61 808 189 263 RSE R1000986

GPO Box 5306, Sydney NSW 2000

**Customer Services**

**Phone** 133 665

**Email** client@onepathsuperinvest.com.au

**Website** onepathsuperinvest.com.au

**This form is for existing members in OneAnswer – Entry Fee, Nil Entry Fee and //Select and OneAnswer Frontier and all OptiMix products.**

**Instructions**

- This form should be completed if you have agreed with your financial adviser to amend their Adviser Service Fee arrangements.
- If you have an existing Ongoing Adviser Service fee or Fixed Term Arrangement Adviser Service Fee, you must complete this form to consent that it continue to be charged. Your consent (at section 3), must be provided every 12 months using this form.
- Complete section 3 and 4 if you have agreed with your financial adviser to apply a new Adviser Service Fee or amend an existing Ongoing Adviser Service fee or Fixed Term Arrangement Adviser Service Fee.
- Section 5 should be completed by your financial adviser if alterations to product remuneration arrangements are required (such as if you wish to cancel any existing Ongoing Adviser Service Fees or Fixed Term Arrangements).
- Please complete this form and forward to us either by email or post.
  - Email\* a scanned copy to client@onepathsuperinvest.com.au
  - Post to:
    - OneAnswer / OnePath
    - GPO Box 5306
    - Sydney NSW 2001

\* Emails can only be accepted from the email address currently held on our records.

1. Member/Investor number

2. Personal details

Title  Mr  Mrs  Ms  Miss  Dr Other

Surname

Given name(s) (including middle name)

Date of birth (dd/mm/yyyy)  /  /  Male  Female

Address

Suburb/Town  State  Postcode

Contact Phone

### 3. Adviser Service Fee (ASF)

- The following ASF options are available – ongoing ASF, fixed term arrangement ASF and one-off ASF. Please note that we do not allow any client to have both a fixed term arrangement ASF and ongoing ASF at the same time.
- Please see rules in Section 5 below about how any new Ongoing ASF or Fixed Term Arrangement ASF will interact with any existing fee arrangements.
- ASFs are optional and agreed between you and your financial adviser. The amounts nominated will be deducted from your account and are inclusive of any applicable GST. These are paid by OnePath Custodians/OnePath Funds Management under a separate contractual agreement OnePath Custodians/OnePath Funds Management has with your financial adviser's licensee.
- We may, acting reasonably, decline to deduct any of these fees.
- You may choose not to give your consent or you can terminate this ASF at any time by notifying us in writing. If you notify us that you no longer wish to use the services of a financial adviser in relation to your investment, the ASF will be terminated.
- Please refer to the Product Disclosure Statement (PDS) and any subsequent updates applicable to your OneAnswer investment for further information.

If you have agreed to pay your financial adviser an Adviser Service Fee (ASF) from your account balance (by redeeming units) please provide the details below.

#### Ongoing ASF

Deduct Ongoing ASF ,  %\* per annum or \$ ,  per annum

Please note for Term Allocated Pension accounts you can only nominate a percentage (%) amount.

To have the ongoing ASF deducted from a single fund, write the fund name here.†

Choose when to have the ASF deducted (choose one). If no frequency is nominated, it will be deducted monthly.

Monthly  Quarterly†  Annually†

Consent end date (required)  /  /

The consent end date is the date when all ongoing advice fees will end if we have not received your consent to continue.

Note: The consent end date cannot be more than 12 months plus 150 days after the date of the below member consent.

If a percentage ongoing fee is selected:

Your adviser has estimated a fee of \$ ,  for the 12 months commencing  /  /

This fee will be paid on the above selected frequency based on your account balance applied against the percentage entered above.

Please select the services being provided:

- |  |   |
|--|---|
| <input type="checkbox"/> Review of your account            | <input type="checkbox"/> Contribution strategy                |
| <input type="checkbox"/> Strategic superannuation advice   | <input type="checkbox"/> Insurance in superannuation strategy |
| <input type="checkbox"/> Investment advice on your account | <input type="checkbox"/> Withdrawal advice                    |

#### Fixed Term Arrangement ASF

Deduct Fixed Term Arrangement ASF ,  %\* per annum or \$ ,  per annum

Please note for Term Allocated Pension account you can only nominate a percentage (%) amount.

To have the fixed term arrangement ASF deducted from a single fund, write the fund name here.†

Start date (required)  /  /

End date (required)  /  /

#### Important:

- Start and End Dates for the ASF must be provided above for this form to be valid.
- You must have signed within 90 days of the start date and the date we process this request.
- The end date cannot be more than 12 months after the fixed term arrangement start date.

If a percentage fixed term arrangement fee is selected:

Your adviser has estimated a fee of \$ ,  for the term of the fixed term arrangement.

This fee will be paid on a monthly frequency based on your account balance applied against the percentage entered above.

The end date is the date when all fixed term arrangement fees will end if we have not received your consent to continue.

Please select the services being provided:

- |  |   |
|--|---|
| <input type="checkbox"/> Review of your account            | <input type="checkbox"/> Contribution strategy                |
| <input type="checkbox"/> Strategic superannuation advice   | <input type="checkbox"/> Insurance in superannuation strategy |
| <input type="checkbox"/> Investment advice on your account | <input type="checkbox"/> Withdrawal advice                    |

## One-off ASF (OneAnswer only)

Deduct One-off ASF ,  %\* or \$ ,

Please note for Term Allocated Pension accounts you can only nominate a percentage (%) amount.

To have the one-off ASF deducted from a single fund, write the fund name here.†

If a percentage one-off fee is selected:

Your adviser has estimated a fee of \$ ,  based on your account balance applied against the percentage entered above.

Please select the services being provided:

Review of your account

Contribution strategy

Strategic superannuation advice

Insurance in superannuation strategy

Investment advice on your account

Withdrawal advice

\* You cannot nominate a percentage based ASF if the balance is funded from borrowed money.

† ASF cannot be deducted from ANZ Term Deposits or suspended funds. If no fund is nominated the ASF will be deducted proportionately across all funds except ANZ Term Deposits and suspended funds.

‡ The first updated Ongoing or Fixed Term Arrangement ASF will be deducted either in the following month (if monthly frequency), in three months (if quarterly, Ongoing ASF only) or in 12 months (if annually, Ongoing ASF only) following the commencement of the new arrangement.

## Adviser Service Fee declaration (to be completed by member/investor only)

Please read this important information about adviser remuneration before submitting this form.

By signing and submitting this form, you instruct and authorise OnePath Custodians / OnePath Funds Management to deduct the agreed ASF from your account balance and to pay this to your adviser (nominated in Section 6).

In giving this instruction, you should note that:

- The fees to be deducted from your account may only relate to personal financial advice provided by your adviser in respect of your interest in the Fund;
- OnePath Custodians / OnePath Funds Management is required to obtain your specific written consent before a fee for financial advice can be deducted from your account. However, you are not under any obligation to consent to the fee being deducted;
- your ASF is negotiable with your financial adviser and can be cancelled at any time;
- the ASF will be deducted by redeeming units from your investment;
- where the ASF is payable as a percentage, it will be calculated on your total account balance. However, in this case no part of your account balance may be funded from borrowed money;
- if you choose to pay an Ongoing ASF, your consent to pay the Ongoing ASF to your adviser will cease on the consent end date (nominated in this form) unless you withdraw your consent or vary the Ongoing ASF arrangement at any time by notice in writing to your adviser or by contacting Customer Services;
- if you choose a Fixed Term Arrangement ASF, your consent will last until the end date nominated in the Fixed Term Arrangement ASF section above but can be revoked at any time;
- if you choose a one-off ASF, your consent can be revoked before the one-off fee is paid;
- you consent to your financial adviser and/or the licensee below, providing OnePath Custodians (on its request) all the necessary documentation to support or substantiate the fee deduction from your account, including but not limited to advice documentation, and any other agreements or consent forms relating to the payment of advice fees; and
- if your OneAnswer Frontier Personal Super (i.e., a superannuation interest) is transferred to another superannuation fund, or to another product, within Retirement Portfolio Service, you agree that:
  - you consent to the fee continuing to be deducted from your account in that other fund or product until your consent expires as set out above in this consent:
  - a reference to your account includes both your existing account, and any subsequent account into which your superannuation interest is transferred;
  - a reference to the fund includes both the existing superannuation fund, and any other fund to which your superannuation interest is transferred;
  - a reference to the trustee of your superannuation fund includes both the current trustee, and any future trustee holding your superannuation interest; and
  - you will treat this consent as being received by both your current trustee, and any future trustee holding your superannuation interest.

## 4. Important information for members/investors and signature

### Read the PDS and additional information

Before submitting this request, you should read the relevant PDS. You should contact us or speak to your adviser if you do not understand something.

There is additional information about this product, and the Adviser Services Fee (**ASF**) and your adviser, in the Fees Guide, Investment Funds Guide, Buy-Sell Spread Guide, OneAnswer Hedge Funds Guide and Additional Information Guide which you should read as it may assist you in making an investment decision.

### Privacy

OnePath Custodians / OnePath Funds Management collects the information in this form in order to operate your account. Your personal information (including where authorised and required, your sensitive information) will be handled in accordance with the privacy policy, which is available at [onepathsuperinvest.com.au/about-us/privacy-policy](http://onepathsuperinvest.com.au/about-us/privacy-policy). The privacy policy contains information about how you may access or correct your personal information held by OnePath Custodians / OnePath Funds Management and how you may make a privacy-related complaint.

If you have provided information about another person in this application, you should obtain the permission of that person to do so, inform the person concerned that you have done so and direct them to the Privacy Policy so they may understand the manner in which their information may be used and disclosed by OnePath Custodians / OnePath Funds Management.

### Marketing

OnePath Custodians / OnePath Funds Management and its related group companies may use your personal information to send you information about their products or services from time to time. OnePath Custodians / OnePath Funds Management may also disclose your personal information to organisations products or services from time to time. OnePath Custodians / OnePath Funds Management may also disclose your personal information to organisations who are in an alliance with OnePath Custodians / OnePath Funds Management to enable those alliance partners to send you information about their products and services. You can change your marketing preferences by telephoning us on 133 665.

### Information you must provide to us

We will rely on the information you give us to process your request. By signing and submitting this form, you represent that the information you have provided is true and correct. If any of the information you have provided changes, you should inform us as soon as possible.

I declare that I have read this form and declare that the information completed on this form is true and accurate.

### Signature of member/investor 1 (sign clearly within box)

X

Date (dd/mm/yyyy)  /  /

### Signature of member/investor 2 (if applicable) (sign clearly within box)

X

Date (dd/mm/yyyy)  /  /

### Financial adviser use only

#### Adviser Service Fee declaration (to be completed by financial advisers only)

To be completed by financial advisers where an ASF is updated or a new ASF is commenced.

By signing below, I confirm and declare that:

- the fees to be charged to the client in this form relate solely to services and advice regarding the account to which this consent relates and not any of their other super or pension accounts. I also confirm that if the split of services provided in relation to each account changes in future that I will update the portion of the advice fee charged to each account accordingly.
- where an ASF is nominated it is not part of an early release scheme.
- where an ASF is nominated it is reasonable and is commensurate with the type and scope of the advice being provided.
- where an AFS is nominated the above advice fees are equal to, or in any event do not exceed, the amount to be paid for the super advice provided to the member about their nominated super or pension account in the Fund.
- confirm the fixed term arrangement ASF will start on the start date nominated in Section 3. The end date cannot be more than 12 months after the fixed term arrangement ASF start date.
- I have specifically drawn the applicant's attention to any ASFs nominated above and I have explained the implications and the effect of these fees for them, including the method by which the above fee estimate was calculated.
- upon request from OnePath Custodians, I will provide all the necessary documentation to support or substantiate the fee deduction from the member's account, including but not limited to advice documentation, and any other agreements or consent forms relating to the payment of advice fees.

### Signature of financial adviser

X

Date (dd/mm/yyyy)  /  /

## 5. ASF Cancellation

If you wish to cancel an existing ASF, please nominate below.

### Cancel Fixed Term Arrangement ASF

Cancel the Fixed Term Arrangement ASF on this account

### Cancel Ongoing ASF

Cancel the Ongoing ASF on this account

If you do not cancel an existing Ongoing ASF, your existing Ongoing ASF will continue until the earliest of:

- Start date of new Fixed Term Arrangement
- Processing date of new Ongoing ASF
- Consent end date of the existing Ongoing ASF

If you do not cancel an existing Fixed Term Arrangement ASF, your existing Fixed Term Arrangement ASF will continue until the earliest of:

- Start date of new Fixed Term Arrangement
- Processing date of new Ongoing ASF
- End date of the existing Fixed Term Arrangement ASF

## 6. Financial adviser details

Master sales account no.

Sales account no. (if applicable)

Seller code 2 (if applicable)

Seller code 3 (if applicable)

Company name

Name of financial adviser

Phone

Business

Mobile

Fax

Email

Financial adviser stamp